

MAY 21 2004

OFFICIAL

DOCKET: CU: 3495

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: Sang Tae AHN et al.

] GRP ART UNIT:

Serial No: 10/738,399

] Ex.:

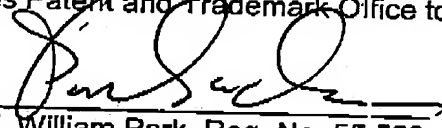
Filed: December 17, 2003

For: METHOD FOR FABRICATING ISOLATION LAYER IN SEMICONDUCTOR  
DEVICE**Certification under 37 C.F.R. §1.8(a)**

The USPTO Central Fax No. (703) 872-9306

Date of Fax Transmittal: May 21, 2004

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted to the United States Patent and Trademark Office to the fax number and on the date indicated above.

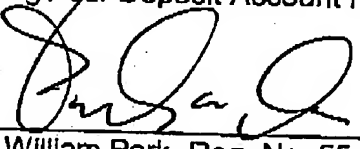
  
W. William Park, Reg. No. 55 523

The Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (IDS) WITHIN  
THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

No fee is believed to be required in view of the above. However, if this is not the case, the Commissioner is authorized to charge our Deposit Account No. 12-0400 for any required fees.

Date: May 21, 2004  
W. William Park, Reg. No. 55,523  
Ladas & Parry  
224 South Michigan Avenue  
Chicago Illinois 60604  
Tel. No. (312) 427-1300

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W. William Park, Reg. No. 55,523

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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The USPTO rules has recently been revised such that IDS's for applications filed after June 30, 2003 do not need to include paper copies of the US patent references. Accordingly, no paper copies of the U.S. patent references identified in this IDS are submitted herewith since the filing date of the present application is after June 30, 2003. Only the copies of foreign patent and/or other relevant publication references are submitted herewith.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

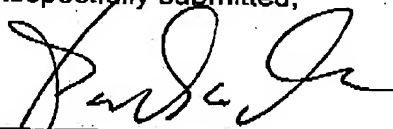
The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

The Statement is made on the basis of the information:

- ☐ supplied by the inventor(s);
- ☒ supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c));
- ☐ in the attorney's file; or
- ☐ cited in a corresponding PCT, EPO, and/or foreign application, if any.

The Statement is made on the basis that the present submission of the IDS is before the mailing date of the first Office Action.

Respectfully submitted,



Date: May 21, 2004

W. William Park, Reg. No. 55,523  
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Form PTO-1449 (Modified)

FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (37 CFR 1.98(b))	ATTY. DOCKET NO. CU-3495	SERIAL NO. 10/738,399
	Applicant Sang Tae AHN et al.	
	FILING DATE December 17, 2003	GROUP

## U.S. PATENT OR PUBLISHED U.S. PATENT APPLICATION DOCUMENTS:

EX INITIAL		PATENT OR PUBLICATION NO.							ISSUE OR PUBLICATION DATE	PATENTEE OR APPLICANT	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	US	6	4	4	4	5	4	1	09.03.02	Lai et al.			
	US	6	0	1	3	5	5	9	01.11.00	Wu et al.			
	US	5	9	5	6	5	9	8	09.21.99	Huang et al.			
	US	5	8	9	1	8	0	9	04.06.99	Chau et al.			
	US	5	8	6	6	4	5	9	02.02.99	Naem et al.			

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER OR INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)


Examiner

DATE CONSIDERED

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)